

**PROCESS FLOW CHART OF ONLINE E-KYC of**  
**GAINN FINTECH PRIVATE LIMITED**

Visit website <https://mygainn.com/>  
Click on <https://ekyc.mygainn.com/gainnfintech/signup> on Home Page  
Client have to enter mobile number, OTP will be sent to the client to authenticate and then it will be proceed further  
Client have to enter email id, OTP will be sent to the client to authenticate to proceed further

Client to enter PAN Details & Date of birth. Then it will be automatically verified PAN details with NSDL -Income Tax site, and it will show pop up message Name as per PAN card of client. Client to confirm and proceed further.

Clients have to enter Aadhar number & OTP will be sent to client's registered mobile number . OTP to be entered by the Client.  
Next,Client needs to provide consent to allow this application to access Client's DigiLocker..

Client should tick/select Trading preference of Exchange / segment (BSE - CM & F&O, NSE- CM- F&O- CD, MCX) & Brokerage charges/scheme & Demat Tariff Sheet accordingly.  
Client is directed to Digilocker for documents & e-sign of documents.  
Client needs to read and accept Terms & Conditions to proceed further.

Client needs to enter Marital Status, Occupation details & other related details Spouse name, Parents details, including Client's contact details, Client's Email ID, Client's Bank Details, Client's Income Details,  
Client's Networth details. Client's PEP status to be chosen appropriately etc.

Client to opt for DDPI if want to opt for the said services .  
Client needs to select an option either of Nominee to be opted or opted out.  
If Client will select nominee opted then nominee details like name, DOB, Relation, Address, Percentage nomination, ID proof , option to select whether joint nominee wants to add to be filled.

Client to enter FATCA details and proceed.

Client needs to provide details related to Bank account. Bank Account of Client will be verified by Penny Drop Facility. If any case any discrepancies observed client have to upload bank proof.

Client needs to upload documents like PAN card ,Wet Signature or digital signature etc.  
Once proof uploaded, client needs to capture live photograph in selfie mode.

Client to select various instructions for DP services and Broking services which option for IBT, ECN,SMS facility, Issuance of DIS Booklet, BSDA facility, others.  
Client to read and opt for Running Settlement Monthly / Quarterly..

Once All above steps completed, clients will get an option to preview  
KYC PDF and Proceed to KYC E-sign.

For KYC E-sign (based on Aadhar):

Client has to entered Aadhar no. to receive OTP on registered mobile no as per Aadhar. Client to input OTP for acceptance of KYC form to be e-signed .

On E-sign, enter KYC form with documents will be automacially emailed to Client registered email id and SMS will be sent on registered mobile no for successfull E-sign of KYC.

Gainn team will activate Account & welcome letter will be sent to Client which includes Client registration form, Cml copy, Welcome letter , Rights and obligations of stock brokers, sub brokers and clients , Internet & wireless technology based trading facility provided by stock broker to client, Risk disclosure documents for capital market and derivatives segment , Risk disclosure documents for commodity derivatives/trading, Do's and don't for trading on the exchange for investor, Rights and obligations of beneficial owner and depository participant

