

PROCESS FLOW CHART OF OFFLINE PHYSICAL KYC of GAINN FINTECH PRIVATE LIMITED



GFPL Sales / RM team visit client or client visits office and gets duly signed and filled account opening form /kit with all required self attested valid KYC proofs and documents of clients in case not registered with KRA.

If KRA registered then fetch documents from KRA Portal and the same must be same as per declared by the client in KYC form. If modification, then KRA modification /ReKYC form to be take nalong with valid proofs / documents as applicable



GFPL sales/RM team verifies the supporting documents with originals along with filled account opening kit. Re-confirms Email, mobile, address (all correspondance and permant details) with client, re-verifies clients general details such as marital status, occupations, annual income, netwoth etc. Also, complete check on clients background, whether PEP.




Account opening kit along with Client KYC Handover to GFPL KYC team by GFPL sales team / RM.



GFPL (Gainn Fintech Private Limited) KYC team verifies account opening booklet, all client documents with original proofs, verifies PAN on PAN site, Verifies clients existing KRA & CKYC (if already regitered), verifies client past records with help of SEBI, EXCHANGE (NSE, BSE), UNSC debarred lists.

After complete verification of client background and records, GFPL KYC team processes client KYC account opening and opens the client account.



On successful completion of account opening, KYC details are informed to Exchanges / Depository.



Gainn team will activate Account & welcome letter will be sent to Client which includes Client registration form, Cml copy, Welcome letter , Rights and obligations of stock brokers, sub brokers and clients , Internet & wireless technology based trading facility provided by stock broker to client, Risk disclosure documents for capital market and derivatives segment , Risk disclosure documents for commodity derivatives/trading, Do's and don't for trading on the exchange for investor, Rights and obligations of beneficial owner and depository participant

